TOWN OF CASTLE ROCK **Schedule A**
2016 Third Quarter Financial Review
Fund: General Fund Summary
Department: All

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ 30,895,909	$ 31,452,251	$ 24,594,399	$ 22,802,019	$ 1,792,380	$ 33,236,486	$ 1,784,235
Franchise Fees	2,164,096	2,361,239	1,170,757	1,292,256	(121,499)	2,293,554	(67,685)
Licenses & Permits	88,355	80,730	56,844	60,548	(3,704)	95,147	14,417
Intergovernmental	327,450	311,758	143,880	233,819	(89,939)	361,239	49,481
Charges for Service	2,102,349	2,174,750	1,337,750	1,631,063	(293,313)	2,175,797	1,047
Management Fees	2,770,586	2,886,561	2,164,921	2,164,921	-	2,886,561	-
Fines & Forfeitures	815,713	837,310	343,172	627,983	(284,811)	472,661	(364,649)
Investment Earnings	61,208	74,555	88,139	55,916	32,223	74,555	-
Contributions & Donations	57,779	32,300	15,000	24,225	(9,225)	31,500	(800)
Transfers In	589,130	500,000	-	-	-	500,000	-
Interfund Loan Revenue	986,382	393,245	29,743	29,743	-	385,688	(7,557)
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	345,784	318,952	240,466	239,214	1,252	338,409	19,457
Total Revenues	**$ 41,204,741**	**$ 41,423,651**	**$ 30,185,071**	**$ 29,161,707**	**$ 1,023,364**	**42,851,597**	**1,427,946**

TOWN OF CASTLE ROCK **Schedule A**
2016 Third Quarter Financial Review
Fund: General Fund Summary
Department: All

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Expenditures							
Town Council	$ 638,838	$ 716,209	$ 542,577	$ 573,593	$ 31,016	$ 676,289	$ 39,920
Town Manager	351,945	491,238	359,784	377,331	17,547	465,765	25,473
Deputy Town Manager	504,795	404,554	322,908	310,585	(12,323)	404,540	14
Human Resources	725,908	700,580	540,693	534,144	(6,549)	733,190	(32,610)
Community Relations	643,564	686,923	476,826	522,165	45,339	686,394	529
DoIT	2,314,213	2,927,775	1,832,721	2,228,764	396,043	2,710,025	217,750
Facilities	1,129,629	1,331,945	926,646	1,012,502	85,856	1,304,909	27,036
Town Attorney	522,430	735,695	457,791	562,226	104,435	681,081	54,614
Town Clerk	305,805	379,282	224,235	289,138	64,903	351,976	27,306
Municipal Court	319,059	391,388	251,070	300,025	48,955	350,954	40,434
Finance Department	1,936,355	2,167,162	1,500,721	1,658,106	157,385	2,096,653	70,509
Police	10,787,774	11,867,464	8,629,640	9,013,444	383,804	11,722,196	145,268
Fire & Rescue	11,764,869	12,816,902	9,109,572	9,609,436	499,864	12,724,209	92,693
Development Services	513,199	536,510	349,551	411,066	61,515	481,035	55,475
Parks & Recreation	4,253,853	4,326,428	3,152,055	3,219,331	67,276	4,215,449	110,979
Non-Departmental	3,312,887	2,320,549	573,463	763,372	189,909	2,269,717	50,832
Downtown Projects	654,788	569,451	373,036	427,088	54,052	569,451	-
Total Expenditures	**$ 40,679,911**	**$ 43,370,055**	**$ 29,623,289**	**$ 31,812,316**	**$ 2,189,027**	**$ 42,443,833**	**$ 926,222**
Net Revenues/Expenditures	**524,830**	**(1,946,404)**	**561,782**	**(2,650,609)**	**3,212,391**	**407,764**	**2,354,168**
Beginning Funds Available	**16,222,134**	**16,746,964**	**16,746,964**	**16,746,964**		**16,746,964**	
Ending Funds Available	**$ 16,746,964**	**$ 14,800,560**	**$ 17,308,746**	**$ 14,096,355**		**$ 17,154,728**	
Less Reserves & Designations:							
Contractual Reserve		300,000				300,000	
Revenue Stabilization Reserve		1,668,000				1,668,000	
Catastrophic Events Reserve		1,000,000				1,000,000	
Capital Reserve		1,765,000				1,765,000	
Opportunity/Economic Development Reserve		1,000,000				1,000,000	
Operational Capacity Reserve		1,000,000				1,000,000	
TABOR Reserve		1,672,908				1,672,908	
Projected Ending Funds Available	**$ 16,746,964**	**$ 6,394,652**				**$ 8,748,820**	

* The 2016 YTD Budget has been adjusted to more accurately reflect the seasonal nature of the year to date budget information

TOWN OF CASTLE ROCK **Schedule A**
2016 Third Quarter Financial Review
Fund: General Fund
Department: All

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ 30,895,909	$ 31,452,251	$ 24,594,399	$ 22,802,019	$ 1,792,380	$ 33,236,486	$ 1,784,235
Franchise Fees	2,164,096	2,361,239	1,170,757	1,292,256	(121,499)	2,293,554	(67,685)
Licenses & Permits	88,355	80,730	56,844	60,548	(3,704)	95,147	14,417
Intergovernmental	327,450	311,758	143,880	233,819	(89,939)	361,239	49,481
Charges for Service	2,102,349	2,174,750	1,337,750	1,631,063	(293,313)	2,175,797	1,047
Management Fees	2,770,586	2,886,561	2,164,921	2,164,921	-	2,886,561	-
Fines & Forfeitures	815,713	837,310	343,172	627,983	(284,811)	472,661	(364,649)
Investment Earnings	61,208	74,555	88,139	55,916	32,223	74,555	-
Impact Fees	-	-	-	-	-	-	-
Contributions & Donations	57,779	32,300	15,000	24,225	(9,225)	31,500	(800)
Transfers In	589,130	500,000	-	-	-	500,000	-
Interfund Loan Revenue	986,382	393,245	29,743	29,743	-	385,688	(7,557)
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	345,784	318,952	240,466	239,214	1,252	338,409	19,457
Total Revenues	**$ 41,204,741**	**$ 41,423,651**	**$ 30,185,071**	**$ 29,161,707**	**$ 1,023,364**	**$ 42,851,597**	**$ 1,427,946**
Expenditures - Town Council							
Personnel	65,497	67,511	50,545	51,932	1,387	66,464	1,047
Services & Other	571,754	645,516	491,797	519,274	27,477	609,090	36,426
Supplies	1,587	3,182	235	2,387	2,152	735	2,447
Capital	-	-	-	-	-	-	-
Debt & Financing	-	-	-	-	-	-	-
Transfers Out	-	-	-	-	-	-	-
Subtotal Town Council	**$ 638,838**	**$ 716,209**	**$ 542,577**	**$ 573,593**	**$ 31,016**	**$ 676,289**	**$ 39,920**
Expenditures - Town Manager							
Personnel	329,439	462,937	341,671	356,105	14,434	441,480	21,457
Services & Other	10,146	24,349	16,346	18,262	1,916	20,296	4,053
Supplies	12,360	3,952	1,767	2,964	1,197	3,989	(37)
Capital	-	-	-	-	-	-	-
Debt & Financing	-	-	-	-	-	-	-
Transfers Out	-	-	-	-	-	-	-
Subtotal Town Manager	**$ 351,945**	**$ 491,238**	**$ 359,784**	**$ 377,331**	**$ 17,547**	**$ 465,765**	**$ 25,473**

TOWN OF CASTLE ROCK **Schedule A**
2016 Third Quarter Financial Review
Fund: General Fund
Department: All

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget	
Expenditures - Deputy Town Manager								
Personnel	390,903	372,817	279,959	286,782	6,823	358,890	13,927	
Services & Other	92,368	30,465	40,733	22,849	(17,884)	43,357	(12,892)	
Supplies	21,524	1,272	2,216	954	(1,262)	2,293	(1,021)	
Capital	-	-	-	-	-	-	-	
Debt & Financing	-	-	-	-	-	-	-	
Transfers Out	-	-	-	-	-	-	-	
Subtotal Deputy Town Manager	**$ 504,795**	**$ 404,554**	**$ 322,908**	**$ 310,585**	**$ (12,323)**	**$ 404,540**	**$ 14**	**(1)**

(1) The Deputy Town Manager Division is over budget through the third quarter due to costs related to special events that occurred prior to the creation of the Special Events Fund, but is expected to be within budget at the end of the year

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget	
Expenditures - Human Resources								
Personnel	432,588	452,869	337,688	348,361	10,673	439,391	13,478	
Services & Other	269,627	242,984	191,089	182,238	(8,851)	281,298	(38,314)	
Supplies	23,693	4,727	11,916	3,545	(8,371)	12,501	(7,774)	
Capital	-	-	-	-	-	-	-	
Debt & Financing	-	-	-	-	-	-	-	
Transfers Out	-	-	-	-	-	-	-	
Subtotal Human Resources	**$ 725,908**	**$ 700,580**	**$ 540,693**	**$ 534,144**	**$ (6,549)**	**$ 733,190**	**$ (32,610)**	**(2)**

(2) The Human Resources Division is expected to be over budget for the year due to the Employee Recognition Program and increased software costs - this overage is offset by savings in other areas

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Expenditures - Community Relations							
Personnel	332,232	362,532	278,930	278,871	(59)	363,049	(517)
Services & Other	227,131	310,834	183,271	233,126	49,855	308,303	2,531
Supplies	13,556	13,557	14,625	10,168	(4,457)	15,042	(1,485)
Capital	70,645	-	-	-	-	-	-
Debt & Financing	-	-	-	-	-	-	-
Transfers Out	-	-	-	-	-	-	-
Subtotal Community Relations	**$ 643,564**	**$ 686,923**	**$ 476,826**	**$ 522,165**	**$ 45,339**	**$ 686,394**	**$ 529**
Expenditures - DoIT							
Personnel	1,492,090	1,712,467	1,224,720	1,317,282	92,562	1,585,002	127,465
Services & Other	547,263	849,673	479,472	637,255	157,783	809,033	40,640
Supplies	117,496	177,289	93,378	132,967	39,589	127,644	49,645
Capital	153,415	184,260	32,086	138,195	106,109	184,260	-
Debt & Financing	-	-	-	-	-	-	-
Transfers Out	3,949	4,086	3,065	3,065	-	4,086	-
Subtotal DoIT	**$ 2,314,213**	**$ 2,927,775**	**$ 1,832,721**	**$ 2,228,764**	**$ 396,043**	**$ 2,710,025**	**$ 217,750**

TOWN OF CASTLE ROCK **Schedule A**
2016 Third Quarter Financial Review
Fund: General Fund
Department: All

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Expenditures - Facilities							
Personnel	512,897	704,214	539,728	541,703	1,975	689,175	15,039
Services & Other	426,706	485,706	281,707	364,280	82,573	468,697	17,009
Supplies	134,101	81,826	71,312	61,370	(9,942)	96,838	(15,012)
Capital	7,589	15,000	-	11,250	11,250	5,000	10,000
Debt & Financing	-	-	-	-	-	-	-
Transfers Out	48,336	45,199	33,899	33,899	-	45,199	-
Subtotal Facilities	**$ 1,129,629**	**$ 1,331,945**	**$ 926,646**	**$ 1,012,502**	**$ 85,856**	**$ 1,304,909**	**$ 27,036**
Expenditures - Town Attorney							
Personnel	432,214	543,597	393,310	418,152	24,842	522,616	20,981
Services & Other	74,924	186,726	60,458	140,045	79,587	151,896	34,830
Supplies	15,292	5,372	4,023	4,029	6	6,569	(1,197)
Capital	-	-	-	-	-	-	-
Debt & Financing	-	-	-	-	-	-	-
Transfers Out	-	-	-	-	-	-	-
Subtotal Town Attorney	**$ 522,430**	**$ 735,695**	**$ 457,791**	**$ 562,226**	**$ 104,435**	**$ 681,081**	**$ 54,614**
Expenditures - Town Clerk							
Personnel	236,707	243,144	187,562	187,034	(528)	242,631	513
Services & Other	67,380	135,128	34,717	101,346	66,629	107,202	27,926
Supplies	1,718	1,010	1,956	758	(1,198)	2,143	(1,133)
Capital	-	-	-	-	-	-	-
Debt & Financing	-	-	-	-	-	-	-
Transfers Out	-	-	-	-	-	-	-
Subtotal Town Clerk	**$ 305,805**	**$ 379,282**	**$ 224,235**	**$ 289,138**	**$ 64,903**	**$ 351,976**	**$ 27,306**
Expenditures - Municipal Court							
Personnel	280,216	337,181	231,590	259,370	27,780	304,652	32,529
Services & Other	22,016	38,031	12,246	28,523	16,277	35,404	2,627
Supplies	16,827	16,176	7,234	12,132	4,898	10,898	5,278
Capital	-	-	-	-	-	-	-
Debt & Financing	-	-	-	-	-	-	-
Transfers Out	-	-	-	-	-	-	-
Subtotal Municipal Court	**$ 319,059**	**$ 391,388**	**$ 251,070**	**$ 300,025**	**$ 48,955**	**$ 350,954**	**$ 40,434**

TOWN OF CASTLE ROCK **Schedule A**
2016 Third Quarter Financial Review
Fund: General Fund
Department: All

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Expenditures - Finance Department							
Personnel	1,552,070	1,702,199	1,249,671	1,309,384	59,713	1,625,327	76,872
Services & Other	355,029	410,208	239,045	307,656	68,611	422,388	(12,180)
Supplies	29,256	29,755	12,005	22,316	10,311	23,938	5,817
Capital	-	25,000	-	18,750	18,750	25,000	-
Debt & Financing	-	-	-	-	-	-	-
Transfers Out	-	-	-	-	-	-	-
Subtotal Finance Department	**$ 1,936,355**	**$ 2,167,162**	**$ 1,500,721**	**$ 1,658,106**	**$ 157,385**	**$ 2,096,653**	**$ 70,509**
Expenditures - Police							
Personnel	9,119,171	9,871,769	7,311,762	7,593,668	281,906	9,750,086	121,683
Services & Other	738,691	741,360	554,688	556,020	1,332	799,901	(58,541)
Supplies	370,906	691,206	418,269	518,405	100,136	616,648	74,558
Capital	94,911	28,553	20,985	21,415	430	20,985	7,568
Debt & Financing	102,661	102,661	-	-	-	102,661	-
Transfers Out	361,434	431,915	323,936	323,936	-	431,915	-
Subtotal Police	**$ 10,787,774**	**$ 11,867,464**	**$ 8,629,640**	**$ 9,013,444**	**$ 383,804**	**$ 11,722,196**	**$ 145,268**
Expenditures - Fire & Rescue							
Personnel	9,123,234	9,952,617	7,362,176	7,655,859	293,683	9,851,550	101,067
Services & Other	1,004,029	1,125,519	749,355	844,139	94,784	1,116,505	9,014
Supplies	491,624	629,817	360,966	472,363	111,397	647,205	(17,388)
Capital	101,388	-	-	-	-	-	-
Debt & Financing	210,139	210,139	-	-	-	210,139	-
Transfers Out	834,455	898,810	637,075	637,075	-	898,810	-
Subtotal Fire & Rescue	**$ 11,764,869**	**$ 12,816,902**	**$ 9,109,572**	**$ 9,609,436**	**$ 499,864**	**$ 12,724,209**	**$ 92,693**
Expenditures - Development Services							
Personnel	403,995	451,512	313,962	347,317	33,355	407,638	43,874
Services & Other	103,954	78,097	30,037	58,573	28,536	66,638	11,459
Supplies	2,603	4,254	3,567	3,191	(376)	4,112	142
Capital	-	-	-	-	-	-	-
Debt & Financing	-	-	-	-	-	-	-
Transfers Out	2,647	2,647	1,985	1,985	-	2,647	-
Subtotal Development Services	**$ 513,199**	**$ 536,510**	**$ 349,551**	**$ 411,066**	**$ 61,515**	**$ 481,035**	**$ 55,475**

TOWN OF CASTLE ROCK **Schedule A**
2016 Third Quarter Financial Review
Fund: General Fund
Department: All

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Expenditures - Parks & Recreation							
Personnel	1,919,805	2,163,087	1,557,946	1,663,913	105,967	2,033,133	129,954
Services & Other	1,186,536	1,303,768	892,982	935,714	42,732	1,319,903	(16,135)
Supplies	264,229	357,823	225,131	243,391	18,260	311,450	46,373
Capital	690,458	297,883	323,096	223,412	(99,684)	347,096	(49,213)
Debt & Financing	-	-	-	-	-	-	-
Transfers Out	192,825	203,867	152,900	152,900	-	203,867	-
Subtotal Parks & Recreation	**$ 4,253,853**	**$ 4,326,428**	**$ 3,152,055**	**$ 3,219,331**	**$ 67,276**	**$ 4,215,449**	**$ 110,979**
Expenditures - Non-Departmental							
Personnel	241,183	-	9,897	-	(9,897)	12,600	(12,600)
Services & Other	568,771	794,678	558,096	596,009	37,913	725,412	69,266
Supplies	2,933	651	5,470	488	(4,982)	6,485	(5,834)
Capital	-	220,000	-	165,000	165,000	220,000	-
Debt & Financing	-	2,500	-	1,875	1,875	2,500	-
Transfers Out	2,500,000	1,302,720	-	-	-	1,302,720	-
Subtotal Non-Departmental	**$ 3,312,887**	**$ 2,320,549**	**$ 573,463**	**$ 763,372**	**$ 189,909**	**$ 2,269,717**	**$ 50,832**
Expenditures - Downtown Projects							
Personnel	-	-	-	-	-	-	-
Services & Other	572,693	-	4,340	-	(4,340)	4,340	(4,340)
Supplies	20,259	-	24,670	-	(24,670)	24,670	(24,670)
Capital	61,836	569,451	344,026	427,088	83,062	540,441	29,010
Debt & Financing	-	-	-	-	-	-	-
Transfers Out	-	-	-	-	-	-	-
Subtotal Downtown Projects	**$ 654,788**	**$ 569,451**	**$ 373,036**	**$ 427,088**	**$ 54,052**	**$ 569,451**	**$ -**
Total Expenditures	**$ 40,679,911**	**$ 43,370,055**	**$ 29,623,289**	**$ 31,812,316**	**$ 2,189,027**	**$ 42,443,833**	**$ 926,222**
Net Revenues/Expenditures	**524,830**	**(1,946,404)**	**561,782**	**(2,650,609)**	**3,212,391**	**407,764**	**2,354,168**
Beginning Funds Available	**16,222,134**	**16,746,964**	**16,746,964**	**16,746,964**		**16,746,964**	
Ending Funds Available	**$ 16,746,964**	**$ 14,800,560**	**$ 17,308,746**	**$ 14,096,355**		**$ 17,154,728**	
Less Reserves & Designations:							
Contractual Reserve		300,000				300,000	
Revenue Stabilization Reserve		1,668,000				1,668,000	
Catastrophic Events Reserve		1,000,000				1,000,000	
Capital Reserve		1,765,000				1,765,000	
Opportunity/Economic Development Reserve		1,000,000				1,000,000	
Operational Capacity Reserve		1,000,000				1,000,000	
TABOR Reserve		1,672,908				1,672,908	
Projected Ending Funds Available	**$ 16,746,964**	**$ 6,394,652**				**$ 8,748,820**	

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

TOWN OF CASTLE ROCK **Schedule B**

2016 Third Quarter Financial Review

Fund: Transportation Fund

Department: Public Works

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ 15,374,488	$ 15,709,102	$ 12,944,759	$ 11,555,911	$ 1,388,848	$ 16,677,254	$ 968,152
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	3,024,280	3,141,507	2,860,775	2,356,130	504,645	3,461,195	319,688
Charges for Service	7,006	3,000	9,049	2,250	6,799	9,950	6,950
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	12,703	11,524	18,072	8,643	9,429	19,644	8,120
Impact Fees	-	-	-	-	-	-	-
Contributions & Donations	196,234	127,000	-	-	-	232,000	105,000
Transfers In	-	-	-	-	-	-	-
Interfund Loan Revenue	-	-	-	-	-	-	-
Debt & Financing Revenue	-	8,794,503	8,794,503	8,794,503	-	8,794,503	-
Other Revenue	16,560	1,200	71,336	900	70,436	71,501	70,301
Total Revenues	**$ 18,631,271**	**$ 27,787,836**	**$ 24,698,494**	**$ 22,718,337**	**$ 1,980,157**	**$ 29,266,047**	**$ 1,478,211**
Expenditures							
Personnel	$ 3,149,123	$ 3,444,880	$ 2,427,853	$ 2,649,908	$ 222,055	$ 3,248,612	$ 196,268
Services & Other	8,728,212	10,853,509	8,407,237	9,128,800	721,563	10,848,030	5,479
Supplies	700,270	620,594	221,771	465,446	243,675	542,946	77,648
Capital	682,017	3,048,661	1,299,582	1,592,746	293,164	3,048,661	-
Debt & Financing	1,599,975	10,400,266	10,178,191	10,178,191	-	10,397,841	2,425
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	3,837,667	2,034,725	401,044	401,044	-	2,034,725	-
Total Expenditures	**$ 18,697,264**	**$ 30,402,635**	**$ 22,935,678**	**$ 24,416,135**	**$ 1,480,457**	**$ 30,120,815**	**$ 281,820**
Net Revenues/Expenditures	**(65,993)**	**(2,614,799)**	**1,762,816**	**(1,697,798)**	**3,460,614**	**(854,768)**	**1,760,031**
Beginning Funds Available	**4,459,326**	**4,393,333**	**4,393,333**	**4,393,333**		**4,393,333**	
Ending Funds Available	**$ 4,393,333**	**$ 1,778,534**	**$ 6,156,149**	**$ 2,695,535**		**$ 3,538,565**	
Less Reserves & Designations:							
Revenue Stabilization Reserve		215,632				215,632	
Capital Reserve		1,429,227				1,629,227	
Projected Ending Funds Available	**$ 4,393,333**	**$ 133,675**				**$ 1,693,706**	

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

TOWN OF CASTLE ROCK **Schedule C**

2016 Third Quarter Financial Review

Fund: Parks & Recreation Capital Fund

Department: Parks and Recreation

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	250,000	-	-	-	-	-	-
Charges for Service	-	-	-	-	-	-	-
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	13,090	10,136	15,363	7,602	7,761	17,476	7,340
Impact Fees	2,298,641	2,698,466	2,428,664	2,171,995	256,669	2,814,080	115,614
Contributions & Donations	40,000	20,000	-	-	-	20,000	-
Transfers In	7,536,000	5,841,052	-	-	-	5,841,052	-
Interfund Loan Revenue	-	-	-	-	-	-	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	-	-	-	-	-	-	-
Total Revenues	**$ 10,137,731**	**$ 8,569,654**	**$ 2,444,027**	**$ 2,179,597**	**$ 264,430**	**$ 8,692,608**	**$ 122,954**
Expenditures							
Personnel	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Services & Other	13,671	16,811	16,421	12,608	(3,813)	16,811	-
Supplies	-	-	-	-	-	-	-
Capital	8,206,954	7,392,714	1,121,842	1,207,912	86,070	1,351,662	6,041,052
Debt & Financing	708,763	711,963	181,934	181,934	-	711,963	-
Interfund Loan	1,688,459	742,000	31,500	31,500	-	742,000	-
Transfers Out	-	-	-	-	-	-	-
Total Expenditures	**$ 10,617,847**	**$ 8,863,488**	**$ 1,351,697**	**$ 1,433,954**	**$ 82,257**	**$ 2,822,436**	**$ 6,041,052**
Net Revenues/Expenditures	**(480,116)**	**(293,834)**	**1,092,330**	**745,643**	**346,687**	**5,870,172**	**6,164,006**
Beginning Funds Available	**1,859,262**	**1,379,146**	**1,379,146**	**1,379,146**		**1,379,146**	
Ending Funds Available	**$ 1,379,146**	**$ 1,085,312**	**$ 2,471,476**	**$ 2,124,789**		**$ 7,249,318**	
Less Reserves & Designations:							
Committed for Fund Purpose		1,085,312				7,249,318	
Projected Ending Funds Available	**$ 1,379,146**	**$ -**				**$ -**	

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

TOWN OF CASTLE ROCK
2016 Third Quarter Financial Review
Fund: Municipal Facilities Capital Fund
Department: Deputy Town Manager

Schedule D

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Charges for Service	-	-	-	-	-	-	-
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	5,110	9,929	7,325	7,447	(122)	7,778	(2,151)
Impact Fees	297,502	356,961	325,050	287,318	37,732	381,370	24,409
Contributions & Donations	-	-	-	-	-	-	-
Transfers In	-	-	-	-	-	-	-
Interfund Loan Revenue	-	7,246	5,434	5,435	(1)	7,246	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	-	-	-	-	-	-	-
Total Revenues	**$ 302,612**	**$ 374,136**	**$ 337,809**	**$ 300,200**	**$ 37,609**	**$ 396,394**	**$ 22,258**
Expenditures							
Personnel	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Services & Other	78,735	94,021	70,306	70,516	210	91,703	2,318
Supplies	-	-	-	-	-	-	-
Capital	-	-	-	-	-	-	-
Debt & Financing	-	-	-	-	-	-	-
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	293,500	-	-	-	-	-	-
Total Expenditures	**$ 372,235**	**$ 94,021**	**$ 70,306**	**$ 70,516**	**$ 210**	**$ 91,703**	**$ 2,318**
Net Revenues/Expenditures	**(69,623)**	**280,115**	**267,503**	**229,684**	**37,819**	**304,691**	**24,576**
Beginning Funds Available	**1,313,947**	**1,244,324**	**1,244,324**	**1,244,324**		**1,244,324**	
Ending Funds Available	**$ 1,244,324**	**$ 1,524,439**	**$ 1,511,827**	**$ 1,474,008**		**$ 1,549,015**	
Less Reserves & Designations:							
Committed for Fund Purpose		1,524,439				1,549,015	
Projected Ending Funds Available	**$ 1,244,324**	**$ -**				**$ -**	

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TOWN OF CASTLE ROCK **Schedule E**
2016 Third Quarter Financial Review
Fund: Fire Capital Fund
Department: Fire Department

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Charges for Service	-	-	-	-	-	-	-
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	12,783	16,260	17,519	12,195	5,324	18,109	1,849
Impact Fees	490,058	581,948	572,895	468,410	104,485	684,878	102,930
Contributions & Donations	-	-	-	-	-	-	-
Transfers In	-	-	-	-	-	-	-
Interfund Loan Revenue	-	-	-	-	-	-	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	-	-	-	-	-	-	-
Total Revenues	**$ 502,841**	**$ 598,208**	**$ 590,414**	**$ 480,605**	**$ 109,809**	**$ 702,987**	**$ 104,779**
Expenditures							
Personnel	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Services & Other	121,146	50,455	42,733	37,841	(4,892)	56,081	(5,626)
Supplies	-	-	-	-	-	-	-
Capital	-	435,864	93,379	93,379	-	430,238	5,626
Debt & Financing	-	-	-	-	-	-	-
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	43,229	55,059	-	-	-	55,059	-
Total Expenditures	**$ 164,375**	**$ 541,378**	**$ 136,112**	**$ 131,220**	**$ (4,892)**	**$ 541,378**	**$ -**
Net Revenues/Expenditures	**338,466**	**56,830**	**454,302**	**349,385**	**104,917**	**161,609**	**104,779**
Beginning Funds Available	**2,581,259**	**2,919,725**	**2,919,725**	**2,919,725**		**2,919,725**	
Ending Funds Available	**$ 2,919,725**	**$ 2,976,555**	**$ 3,374,027**	**$ 3,269,110**		**$ 3,081,334**	
Less Reserves & Designations:							
Committed for Fund Purpose		2,976,555				3,081,334	
Projected Ending Funds Available	**$ 2,919,725**	**$ -**				**$ -**	

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TOWN OF CASTLE ROCK **Schedule F**
2016 Third Quarter Financial Review
Fund: Police Capital Fund
Department: Police Department

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Charges for Service	-	-	-	-	-	-	-
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	795	382	1,305	287	1,018	1,889	1,507
Impact Fees	258,025	321,527	291,152	258,797	32,355	348,005	26,478
Contributions & Donations	-	-	-	-	-	-	-
Transfers In	270,000	-	-	-	-	-	-
Interfund Loan Revenue	-	-	-	-	-	-	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	-	-	-	-	-	-	-
Total Revenues	**$ 528,820**	**$ 321,909**	**$ 292,457**	**$ 259,084**	**$ 33,373**	**$ 349,894**	**$ 27,985**
Expenditures							
Personnel	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Services & Other	23,113	21,991	19,075	19,003	(72)	22,572	(581)
Supplies	-	-	-	-	-	-	-
Capital	410,176	186,661	186,661	186,661	-	186,661	-
Debt & Financing	-	-	-	-	-	-	-
Interfund Loan	60,173	67,420	16,044	16,044	-	67,420	-
Transfers Out	94,665	216,677	-	-	-	216,096	581
Total Expenditures	**$ 588,127**	**$ 492,749**	**$ 221,780**	**$ 221,708**	**$ (72)**	**$ 492,749**	**$ -**
Net Revenues/Expenditures	**(59,307)**	**(170,840)**	**70,677**	**37,376**	**33,301**	**(142,855)**	**27,985**
Beginning Funds Available	**271,093**	**211,786**	**211,786**	**211,786**		**211,786**	
Ending Funds Available	**$ 211,786**	**$ 40,946**	**$ 282,463**	**$ 249,162**		**$ 68,931**	
Less Reserves & Designations:							
Committed for Fund Purpose		40,946				68,931	
Projected Ending Funds Available	**$ 211,786**	**$ -**				**$ -**	

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TOWN OF CASTLE ROCK **Schedule G**
2016 Third Quarter Financial Review
Fund: Transportation Capital Projects Fund
Department: Public Works

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget	
Revenues								
Taxes	$ 1,391,505	$ 1,555,807	$ 1,588,555	$ 1,252,217	$ 336,338	$ 1,612,745	$ 56,938	
Franchise Fees	-	-	-	-	-	-	-	
Licenses & Permits	-	-	-	-	-	-	-	
Intergovernmental	-	-	-	-	-	-	-	
Charges for Service	-	-	-	-	-	-	-	
Management Fees	-	-	-	-	-	-	-	
Fines & Forfeitures	-	-	-	-	-	-	-	
Investment Earnings	109,823	1,839	136,585	1,379	135,206	158,894	157,055	
Impact Fees	2,347,117	3,018,985	2,705,295	2,429,981	275,314	3,316,215	297,230	
Contributions & Donations	3,012,573	3,601,382	-	-	-	578,345	(3,023,037)	(1)
Transfers In	2,800,000	1,000,000	-	-	-	1,000,000	-	
Interfund Loan Revenue	-	-	-	-	-	-	-	
Debt & Financing Revenue	-	-	-	-	-	-	-	
Other Revenue	22	-	-	-	-	-	-	
Total Revenues	**$ 9,661,040**	**$ 9,178,013**	**$ 4,430,435**	**$ 3,683,577**	**$ 746,858**	**$ 6,666,199**	**$ (2,511,814)**	
Expenditures								
Personnel	$ 111,679	$ 117,153	$ 88,384	$ 90,118	$ 1,734	$ 105,743	$ 11,410	
Services & Other	2,298	16,135	35,258	12,101	(23,157)	29,413	(13,278)	
Supplies	-	-	7,594	-	(7,594)	8,114	(8,114)	
Capital	21,982,591	20,170,295	6,437,855	11,715,221	5,277,366	18,092,962	2,077,333	
Debt & Financing	872,088	871,788	436,194	436,194	-	872,088	(300)	
Interfund Loan	11,435,000	1,052,500	39,375	39,375	-	1,052,500	-	
Transfers Out	190,643	-	-	-	-	-	-	
Total Expenditures	**$ 34,594,299**	**$ 22,227,871**	**$ 7,044,660**	**$ 12,293,009**	**$ 5,248,349**	**$ 20,160,820**	**$ 2,067,051**	
Net Revenues/Expenditures	**(24,933,259)**	**(13,049,858)**	**(2,614,225)**	**(8,609,432)**	**5,995,207**	**(13,494,621)**	**(444,763)**	
Beginning Funds Available	**39,717,855**	**14,784,596**	**14,784,596**	**14,784,596**		**14,784,596**		
Ending Funds Available	**$ 14,784,596**	**$ 1,734,738**	**$ 12,170,371**	**$ 6,175,164**		**$ 1,289,975**		
Less Reserves & Designations:								
Committed for Fund Purpose		1,734,738				1,289,975		
Projected Ending Funds Available	**$ 14,784,596**	**$ -**				**$ -**		

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

(1) The timing of construction of the North Meadows Extension at U.S. 85 in 2015 resulted in changes to the amount of CDOT project contribution remaining to be recognized

TOWN OF CASTLE ROCK **Schedule H**

2016 Third Quarter Financial Review

Fund: General Long Term Planning Fund

Department: Deputy Town Manager

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ 1,083,450	$ 1,208,939	$ 1,236,889	$ 973,034	$ 263,855	$ 1,255,474	$ 46,535
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Charges for Service	-	-	-	-	-	-	-
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	7,391	9,605	11,265	7,204	4,061	12,455	2,850
Impact Fees	-	-	-	-	-	-	-
Contributions & Donations	-	-	-	-	-	-	-
Transfers In	-	-	-	-	-	-	-
Interfund Loan Revenue	-	-	-	-	-	-	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	520	-	-	-	-	-	-
Total Revenues	**$ 1,091,361**	**$ 1,218,544**	**$ 1,248,154**	**$ 980,238**	**$ 267,916**	**$ 1,267,929**	**$ 49,385**
Expenditures							
Personnel	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Services & Other	417,656	-	111,556	-	(111,556)	299,695	(299,695)
Supplies	231,694	-	231,188	-	(231,188)	207,368	(207,368)
Capital	436,701	1,467,043	613,480	1,100,282	486,802	959,980	507,063
Debt & Financing	-	-	-	-	-	-	-
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	-	500,000	-	-	-	500,000	-
Total Expenditures	**$ 1,086,051**	**$ 1,967,043**	**$ 956,224**	**$ 1,100,282**	**$ 144,058**	**$ 1,967,043**	**$ -**
Net Revenues/Expenditures	**5,310**	**(748,499)**	**291,930**	**(120,044)**	**411,974**	**(699,114)**	**49,385**
Beginning Funds Available	**1,902,132**	**1,907,442**	**1,907,442**	**1,907,442**		**1,907,442**	
Ending Funds Available	**$ 1,907,442**	**$ 1,158,943**	**$ 2,199,372**	**$ 1,787,398**		**$ 1,208,328**	
Less Reserves & Designations:							
Committed for Fund Purpose		1,158,943				1,208,328	
Projected Ending Funds Available	**$ 1,907,442**	**$ -**				**$ -**	

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TOWN OF CASTLE ROCK **Schedule I**
2016 Third Quarter Financial Review
Fund: Water Fund
Department: Utilities

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	50,000	-	-	-	-	-	-
Charges for Service	12,294,410	12,947,433	9,602,511	9,905,275	(302,764)	13,160,664	213,231
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	340,914	348,552	276,486	261,414	15,072	381,953	33,401
Investment Earnings	65,922	92,547	96,035	69,410	26,625	99,566	7,019
System Development Fees	3,026,611	2,034,686	1,833,694	1,637,719	195,975	2,381,747	347,061
Contributions & Donations	31,158	-	30,415	-	30,415	30,415	30,415
Transfers In	-	-	-	-	-	-	-
Interfund Loan Revenue	4,780,500	1,072,750	54,563	54,563	-	1,072,744	(6)
Debt & Financing Revenue	6,252,192	-	-	-	-	-	-
Other Revenue	308,346	71,550	178,563	53,663	124,900	196,826	125,276
Total Revenues	**$ 27,150,053**	**$ 16,567,518**	**$ 12,072,267**	**$ 11,982,044**	**$ 90,223**	**$ 17,323,915**	**$ 756,397**
Expenditures							
Personnel	$ 2,556,380	$ 2,902,826	$ 2,098,040	$ 2,232,943	$ 134,903	$ 2,813,393	$ 89,433
Services & Other	4,399,852	4,451,245	2,569,052	3,338,434	769,382	4,249,580	201,665
Supplies	1,121,744	1,158,903	753,714	869,177	115,463	1,127,112	31,791
Capital	2,323,894	6,488,317	1,475,096	4,866,238	3,391,142	4,176,750	2,311,567
Debt & Financing	8,000,431	1,253,587	338,192	191,578	(146,615)	1,970,139	(716,552)
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	1,359,649	1,688,563	975,019	1,289,397	314,378	1,688,563	-
Total Expenditures	**$ 19,761,950**	**$ 17,943,441**	**$ 8,209,113**	**$ 12,787,767**	**$ 4,578,654**	**$ 16,025,537**	**$ 1,917,904**
Net Revenues/Expenditures	**7,388,103**	**(1,375,923)**	**3,863,154**	**(805,723)**	**4,668,877**	**1,298,378**	**2,674,301**
Beginning Funds Available	**12,374,849**	**19,762,952**	**19,762,952**	**19,762,952**		**19,762,952**	
Ending Funds Available	**$ 19,762,952**	**$ 18,387,029**	**$ 23,626,106**	**$ 18,957,229**		**$ 21,061,330**	
Less Reserves & Designations:							
Operating Designation		1,405,875				1,405,875	
Capital Reserve		10,474,739				13,149,040	
Catastrophic Events Reserve		3,916,415				3,916,415	
Rate Stabilization Reserve		1,590,000				1,590,000	
Committed for Fund Purpose		1,000,000				1,000,000	
Projected Ending Funds Available	**$ 19,762,952**	**$ -**				**$ -**	

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TOWN OF CASTLE ROCK **Schedule J**
2016 Third Quarter Financial Review
Fund: Water Resources Fund
Department: Utilities

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Charges for Service	7,419,260	7,785,184	5,905,595	5,838,888	66,707	7,866,981	81,797
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	49,292	51,500	52,021	38,625	13,396	78,772	27,272
Investment Earnings	325,540	193,321	505,992	144,991	361,001	538,670	345,349
System Development Fees	12,110,161	10,418,100	10,405,555	8,385,529	2,020,026	12,363,933	1,945,833
Contributions & Donations	-	-	-	-	-	-	-
Transfers In	1,101,071	1,492,462	827,943	1,142,322	(314,379)	1,492,462	-
Interfund Loan Revenue	4,044,658	-	-	-	-	-	-
Debt & Financing Revenue	-	60,364,358	60,251,555	60,332,109	(80,554)	60,364,358	-
Other Revenue	203,533	240	76,815	180	76,635	114,308	114,068
Total Revenues	**$ 25,253,515**	**$ 80,305,165**	**$ 78,025,476**	**$ 75,882,644**	**$ 2,142,833**	**$ 82,819,484**	**$ 2,514,319**
Expenditures							
Personnel	$ 1,539,047	$ 1,731,103	$ 1,219,705	$ 1,331,618	$ 111,913	$ 1,646,065	$ 85,038
Services & Other	1,210,886	1,939,199	871,321	1,454,399	583,078	1,606,841	332,358
Supplies	236,658	302,025	189,561	226,519	36,958	210,577	91,448
Capital	4,634,294	79,430,514	4,526,878	55,347,782	50,820,904	72,156,586	7,273,928 (1)
Debt & Financing	2,463,841	70,408,149	66,402,217	67,817,801	1,415,584	70,408,149	-
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	25,012	4,583	3,437	3,437	-	4,583	-
Total Expenditures	**$ 10,109,738**	**$ 153,815,573**	**$ 73,213,119**	**$ 126,181,555**	**$ 52,968,436**	**$ 146,032,801**	**$ 7,782,772**
Net Revenues/Expenditures	**15,143,777**	**(73,510,408)**	**4,812,357**	**(50,298,912)**	**55,111,269**	**(63,213,317)**	**10,297,091**
Beginning Funds Available	**76,796,700**	**91,940,477**	**91,940,477**	**91,940,477**		**91,940,477**	
Ending Funds Available	**$ 91,940,477**	**$ 18,430,069**	**$ 96,752,834**	**$ 41,641,565**		**$ 28,727,160**	
Less Reserves & Designations:							
Operating Designation		662,055				662,055	
Capital Reserve		15,984,831				26,281,922	
Catastrophic Events Reserve		1,283,183				1,283,183	
Committed for Fund Purpose		500,000				500,000	
Projected Ending Funds Available	**$ 91,940,477**	**$ -**				**$ -**	

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information
(1) Capital include amounts which will likely be carried forward into 2017 to accomodate ongoing capital project needs

TOWN OF CASTLE ROCK **Schedule K**
2016 Third Quarter Financial Review
Fund: Stormwater Fund
Department: Utilities

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget	
Revenues								
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -	
Franchise Fees	-	-	-	-	-	-	-	
Licenses & Permits	-	-	-	-	-	-	-	
Intergovernmental	-	-	-	-	-	-	-	
Charges for Service	2,859,711	3,446,642	2,241,826	2,584,982	(343,156)	3,004,999	(441,643)	(1)
Management Fees	-	-	-	-	-	-	-	
Fines & Forfeitures	253	1,500	94	1,125	(1,031)	79	(1,421)	
Investment Earnings	19,833	22,780	29,784	17,085	12,699	32,492	9,712	
System Development Fees	750,008	1,062,600	1,027,044	855,287	171,757	1,179,507	116,907	
Contributions & Donations	3,815	2,315	9,600	1,736	7,864	9,000	6,685	
Transfers In	-	-	-	-	-	-	-	
Interfund Loan Revenue	-	-	-	-	-	-	-	
Debt & Financing Revenue	-	-	-	-	-	-	-	
Other Revenue	3,569	3,504	5,623	2,628	2,995	5,622	2,118	
Total Revenues	**$ 3,637,189**	**$ 4,539,341**	**$ 3,313,971**	**$ 3,462,843**	**$ (148,872)**	**$ 4,231,699**	**$ (307,642)**	
Expenditures								
Personnel	$ 1,291,335	$ 1,506,658	$ 1,083,968	$ 1,158,968	$ 75,000	$ 1,420,532	$ 86,126	
Services & Other	601,330	661,502	412,557	496,127	83,570	518,233	143,269	
Supplies	67,979	93,672	53,449	70,254	16,805	74,844	18,828	
Capital	2,137,942	5,060,098	712,313	3,525,915	2,813,602	2,445,334	2,614,764	(2)
Debt & Financing	-	-	-	-	-	-	-	
Interfund Loan	40,500	20,250	15,188	15,188	-	20,250	-	
Transfers Out	120,466	96,260	72,195	72,195	-	96,260	-	
Total Expenditures	**$ 4,259,552**	**$ 7,438,440**	**$ 2,349,670**	**$ 5,338,647**	**$ 2,988,977**	**$ 4,575,453**	**$ 2,862,987**	
Net Revenues/Expenditures	**(622,363)**	**(2,899,099)**	**964,301**	**(1,875,804)**	**2,840,105**	**(343,754)**	**2,555,345**	
Beginning Funds Available	**6,649,615**	**6,027,252**	**6,027,252**	**6,027,252**		**6,027,252**		
Ending Funds Available	**$ 6,027,252**	**$ 3,128,153**	**$ 6,991,553**	**$ 4,151,448**		**$ 5,683,498**		
Less Reserves & Designations:								
Operating Designation		366,715				366,715		
Capital Reserve		2,261,438				4,816,783		
Committed for Fund Purpose		500,000				500,000		
Projected Ending Funds Available	**$ 6,027,252**	**$ -**				**$ -**		

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information
(1) Charges for Service is estimated to end the year undr budget due to lower than anticipated Stormwater fixed charges collections
(2) Capital include amounts which will likely be carried forward into 2017 to accomodate ongoing capital project needs

TOWN OF CASTLE ROCK **Schedule L**
2016 Third Quarter Financial Review
Fund: Wastewater Fund
Department: Utilities

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	8,500	-	-	-	-	-	-
Charges for Service	9,087,750	9,400,850	7,099,530	7,050,638	48,892	9,458,287	57,437
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	343	1,500	54	1,125	(1,071)	34	(1,466)
Investment Earnings	50,578	84,966	83,397	63,725	19,672	91,647	6,681
System Development Fees	5,097,705	2,213,349	1,814,853	1,781,525	33,328	2,364,018	150,669
Contributions & Donations	43,620	59,022	-	-	-	-	(59,022)
Transfers In	-	-	-	-	-	-	-
Interfund Loan Revenue	3,090,000	-	-	-	-	-	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	140,015	18,100	85,562	13,575	71,987	130,725	112,625
Total Revenues	**$ 17,518,511**	**$ 11,777,787**	**$ 9,083,396**	**$ 8,910,588**	**$ 172,808**	**$ 12,044,711**	**$ 266,924**
Expenditures							
Personnel	$ 1,138,393	$ 1,331,795	$ 969,586	$ 1,024,458	$ 54,872	$ 1,279,483	$ 52,312
Services & Other	5,399,723	5,792,391	3,717,254	4,344,293	627,039	5,789,121	3,270
Supplies	192,932	278,734	164,305	209,051	44,746	173,418	105,316
Capital	1,295,784	3,164,677	303,783	2,205,171	1,901,388	2,814,173	350,504
Debt & Financing	330,885	332,538	60,452	60,404	(48)	332,586	(48)
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	68,752	66,494	49,871	49,871	-	66,494	-
Total Expenditures	**$ 8,426,469**	**$ 10,966,629**	**$ 5,265,251**	**$ 7,893,248**	**$ 2,627,997**	**$ 10,455,275**	**$ 511,354**
Net Revenues/Expenditures	**9,092,042**	**811,158**	**3,818,145**	**1,017,340**	**2,800,805**	**1,589,436**	**778,278**
Beginning Funds Available	**10,930,426**	**20,022,468**	**20,022,468**	**20,022,468**		**20,022,468**	
Ending Funds Available	**$ 20,022,468**	**$ 20,833,626**	**$ 23,840,613**	**$ 21,039,808**		**$ 21,611,904**	
Less Reserves & Designations:							
Operating Designation		828,613				828,613	
Catastrophic Events Reserve		1,675,712				1,675,712	
Capital Reserve		17,329,301				18,107,579	
Committed for Fund Purpose		1,000,000				1,000,000	
Projected Ending Funds Available	**$ 20,022,468**	**$ -**				**$ -**	

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

TOWN OF CASTLE ROCK **Schedule M**
2016 Third Quarter Financial Review
Fund: Golf Course Fund
Department: Parks and Recreation

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Charges for Service	2,782,398	2,878,877	2,533,545	2,547,806	(14,261)	2,818,697	(60,180)
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	4,561	11,529	9,471	8,647	824	11,817	288
Impact Fees	-	-	-	-	-	-	-
Contributions & Donations	-	-	-	-	-	-	-
Transfers In	80,000	80,000	80,000	80,000	-	80,000	-
Interfund Loan Revenue	-	-	-	-	-	-	-
Debt & Financing Revenue	5,750,123	303,446	300,148	300,148	-	300,148	(3,298)
Other Revenue	1,671	-	2,518	-	2,518	1,039	1,039
Total Revenues	**$ 8,618,753**	**$ 3,273,852**	**$ 2,925,682**	**$ 2,936,601**	**$ (10,919)**	**$ 3,211,701**	**$ (62,151)** (1)
Expenditures							
Personnel	$ 1,158,935	$ 1,198,161	$ 948,733	$ 902,215	$ (46,518)	$ 1,208,550	$ (10,389)
Services & Other	549,423	601,203	356,387	410,020	53,633	525,820	75,383
Supplies	501,215	543,918	431,781	472,665	40,884	530,258	13,660
Capital	340,123	953,446	649,813	787,648	137,835	945,588	7,858
Debt & Financing	5,277,962	658,300	203,224	203,224	-	632,887	25,413
Interfund Loan	606,730	7,825	5,634	5,869	235	7,512	313
Transfers Out	3,293	2,688	2,016	2,016	-	2,688	-
Total Expenditures	**$ 8,437,681**	**$ 3,965,541**	**$ 2,597,588**	**$ 2,783,657**	**$ 186,069**	**$ 3,853,303**	**$ 112,238**
Net Revenues/Expenditures	**181,072**	**(691,689)**	**328,094**	**152,944**	**175,150**	**(641,602)**	**50,087**
Beginning Funds Available	**1,928,462**	**2,109,534**	**2,109,534**	**2,109,534**		**2,109,534**	
Ending Funds Available	**$ 2,109,534**	**$ 1,417,845**	**$ 2,437,628**	**$ 2,262,478**		**$ 1,467,932**	
Less Reserves & Designations:							
Revenue Stabilization Reserve		200,000				200,000	
Capital Reserve		150,000				150,000	
Debt Service Reserve		500,815				500,815	
Projected Ending Funds Available	**$ 2,109,534**	**$ 567,030**				**$ 617,117**	
Required Debt Coverage Ratio		626,019				626,019	
Calculated Debt Ratio Coverage		627,124				645,886	
Over/Under Budget Requirement		1,105				19,867	

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

(1) Revenue is slightly under the seasonally adjusted budget primarily due to the increased amount of inclement weather during the first half of 2016

TOWN OF CASTLE ROCK **Schedule N**
2016 Third Quarter Financial Review
Fund: Community Center Fund
Department: Parks and Recreation

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ 2,519,151	$ 2,582,295	$ 2,066,909	$ 1,892,201	$ 174,708	$ 2,755,336	$ 173,041
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	20,000	-	-	-	-	-	-
Charges for Service	3,807,084	3,920,000	2,922,045	3,006,640	(84,595)	4,011,247	91,247
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	12,201	5,114	7,021	3,836	3,185	7,011	1,897
Impact Fees	-	-	-	-	-	-	-
Contributions & Donations	-	-	-	-	-	-	-
Transfers In	-	-	-	-	-	-	-
Interfund Loan Revenue	425,973	424,000	18,000	118,000	(100,000)	424,000	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	35,212	44,000	21,229	33,000	(11,771)	50,057	6,057
Total Revenues	**$ 6,819,621**	**$ 6,975,409**	**$ 5,035,204**	**$ 5,053,677**	**$ (18,473)**	**$ 7,247,651**	**$ 272,242**
Expenditures							
Personnel	$ 3,165,198	$ 3,609,294	$ 2,709,986	$ 2,718,881	$ 8,895	$ 3,590,965	$ 18,329
Services & Other	2,149,261	2,037,701	1,554,220	1,218,749	(335,471)	2,276,534	(238,833)
Supplies	545,529	501,274	405,603	325,878	(79,725)	482,238	19,036
Capital	295,576	1,743,908	1,147,180	1,307,931	160,751	1,411,985	331,923
Debt & Financing	41,246	61,796	31,070	31,070	-	61,796	-
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	2,612,518	98,167	93,625	93,625	-	98,167	-
Total Expenditures	**$ 8,809,328**	**$ 8,052,140**	**$ 5,941,684**	**$ 5,696,134**	**$ (245,550)**	**$ 7,921,685**	**$ 130,455**
Net Revenues/Expenditures	**(1,989,707)**	**(1,076,731)**	**(906,480)**	**(642,457)**	**(264,023)**	**(674,034)**	**402,697**
Beginning Funds Available	**3,483,498**	**1,493,791**	**1,493,791**	**1,493,791**		**1,493,791**	
Ending Funds Available	**$ 1,493,791**	**$ 417,060**	**$ 587,311**	**$ 851,334**		**$ 819,757**	
Less Reserves & Designations:							
Capital Reserve		166,674				166,674	
Revenue Stabilization Reserve		91,200				91,200	
Projected Ending Funds Available	**$ 1,493,791**	**$ 159,186**				**$ 561,883**	

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

TOWN OF CASTLE ROCK **Schedule O**
2016 Third Quarter Financial Review
Fund: Development Services Fund
Department: Development Services

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	3,240,261	4,036,612	3,358,703	3,248,934	109,769	4,169,312	132,700
Intergovernmental	-	-	-	-	-	-	-
Charges for Service	1,436,596	1,399,412	1,978,196	1,126,340	851,856	2,406,027	1,006,615
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	25,447	34,603	37,846	25,952	11,894	41,259	6,656
Impact Fees	-	-	-	-	-	-	-
Contributions & Donations	-	-	-	-	-	-	-
Transfers In	-	-	-	-	-	-	-
Interfund Loan Revenue	-	-	-	-	-	-	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	791	-	1	-	1	-	-
Total Revenues	**$ 4,703,095**	**$ 5,470,627**	**$ 5,374,746**	**$ 4,401,226**	**$ 973,520**	**$ 6,616,598**	**$ 1,145,971**
Expenditures							
Personnel	$ 3,038,952	$ 4,218,058	$ 2,788,853	$ 3,244,660	$ 455,807	$ 3,831,769	$ 386,289
Services & Other	1,165,056	1,141,313	1,040,153	855,985	(184,168)	1,455,249	(313,936)
Supplies	64,130	79,871	45,332	59,903	14,571	62,211	17,660
Capital	7,495	5,100,000	205,314	205,314	-	5,112,500	(12,500)
Debt & Financing	-	-	-	-	-	-	-
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	92,382	47,520	35,640	35,640	-	102,420	(54,900)
Total Expenditures	**$ 4,368,015**	**$ 10,586,762**	**$ 4,115,292**	**$ 4,401,502**	**$ 286,210**	**$ 10,564,149**	**$ 22,613**
Net Revenues/Expenditures	**335,080**	**(5,116,135)**	**1,259,454**	**(276)**	**1,259,730**	**(3,947,551)**	**1,168,584**
Beginning Funds Available	**6,338,733**	**6,673,813**	**6,673,813**	**6,673,813**		**6,673,813**	
Ending Funds Available	**$ 6,673,813**	**$ 1,557,678**	**$ 7,933,267**	**$ 6,673,537**		**$ 2,726,262**	
Less Reserves & Designations:							
Revenue Stabilization Reserve		1,557,678				2,726,262	
Projected Ending Funds Available	**$ 6,673,813**	**$ -**				**$ -**	

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

TOWN OF CASTLE ROCK **Schedule P**

2016 Third Quarter Financial Review

Fund: Employee Benefits Fund

Department: Deputy Town Manager

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget	
Revenues								
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -	
Franchise Fees	-	-	-	-	-	-	-	
Licenses & Permits	-	-	-	-	-	-	-	
Intergovernmental	-	-	-	-	-	-	-	
Charges for Service	5,043,088	6,169,184	4,247,829	4,745,526	(497,697)	5,548,462	(620,722)	
Management Fees	-	-	-	-	-	-	-	
Fines & Forfeitures	-	-	-	-	-	-	-	
Investment Earnings	15,145	19,263	21,187	14,447	6,740	22,558	3,295	
Impact Fees	-	-	-	-	-	-	-	
Contributions & Donations	1,217,502	1,459,047	1,017,110	1,122,344	(105,234)	1,261,181	(197,866)	
Transfers In	-	-	-	-	-	-	-	
Interfund Loan Revenue	503,349	-	-	-	-	-	-	
Debt & Financing Revenue	-	-	-	-	-	-	-	
Other Revenue	20,392	-	-	-	-	-	-	
Total Revenues	**$ 6,799,476**	**$ 7,647,494**	**$ 5,286,126**	**$ 5,882,317**	**$ (596,191)**	**$ 6,832,201**	**$ (815,293)**	(1)
Expenditures								
Personnel	$ 54,155	$ 56,641	$ 43,357	$ 43,570	$ 213	$ 56,334	$ 307	
Services & Other	6,510,693	7,569,090	4,858,640	5,676,818	818,178	7,018,400	550,690	
Supplies	1,087	2,500	1,635	1,875	240	2,451	49	
Capital	-	-	-	-	-	-	-	
Debt & Financing	-	-	-	-	-	-	-	
Interfund Loan	-	-	-	-	-	-	-	
Transfers Out	500,000	-	-	-	-	-	-	
Total Expenditures	**$ 7,065,935**	**$ 7,628,231**	**$ 4,903,632**	**$ 5,722,263**	**$ 818,631**	**$ 7,077,185**	**$ 551,046**	
Net Revenues/Expenditures	**(266,459)**	**19,263**	**382,494**	**160,054**	**222,440**	**(244,984)**	**(264,247)**	
Beginning Funds Available	**3,196,852**	**2,930,393**	**2,930,393**	**2,930,393**		**2,930,393**		
Ending Funds Available	**$ 2,930,393**	**$ 2,949,656**	**$ 3,312,887**	**$ 3,090,447**		**$ 2,685,409**		
Less Reserves & Designations:								
Claims Reserve		1,438,226				1,438,226		
Health Care Cost Reserve		801,150				801,150		
Projected Ending Funds Available	**$ 2,930,393**	**$ 710,280**				**$ 446,033**		

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

(1) Healthcare costs were budgeted at an 8% increase over 2015 plan costs. Actual revenue is based on actual employee benefit selection and is affected by position vacancies that occur throughout the year

TOWN OF CASTLE ROCK **Schedule Q**
2016 Third Quarter Financial Review
Fund: Fleet Services Fund
Department: Public Works

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Charges for Service	3,015,723	3,257,727	2,465,444	2,443,295	22,149	3,283,271	25,544
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	3,065	11,064	6,182	8,298	(2,116)	7,740	(3,324)
Impact Fees	-	-	-	-	-	-	-
Contributions & Donations	-	-	-	-	-	-	-
Transfers In	540,147	321,112	-	-	-	321,112	-
Interfund Loan Revenue	-	-	-	-	-	-	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	148,995	150,606	141,496	112,955	28,541	150,606	-
Total Revenues	**$ 3,707,930**	**$ 3,740,509**	**$ 2,613,122**	**$ 2,564,548**	**$ 48,574**	**$ 3,762,729**	**$ 22,220**
Expenditures							
Personnel	$ 446,505	$ 490,454	$ 375,878	$ 377,272	$ 1,394	$ 488,684	$ 1,770
Services & Other	154,098	158,641	123,696	118,981	(4,715)	164,743	(6,102)
Supplies	264,065	275,843	262,345	206,882	(55,463)	343,829	(67,986)
Capital	2,124,018	3,045,603	2,240,090	2,284,202	44,112	2,854,926	190,677
Debt & Financing	-	-	-	-	-	-	-
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	14,908	11,367	8,525	8,525	-	11,367	-
Total Expenditures	**$ 3,003,594**	**$ 3,981,908**	**$ 3,010,534**	**$ 2,995,862**	**$ (14,672)**	**$ 3,863,549**	**$ 118,359**
Net Revenues/Expenditures	**704,336**	**(241,399)**	**(397,412)**	**(431,314)**	**33,902**	**(100,820)**	**140,579**
Beginning Funds Available	**1,868,021**	**2,572,357**	**2,572,357**	**2,572,357**		**2,572,357**	
Ending Funds Available	**$ 2,572,357**	**$ 2,330,958**	**$ 2,174,945**	**$ 2,141,043**		**$ 2,471,537**	
Less Reserves & Designations:							
Committed for Fund Purpose		2,330,958				2,471,537	
Projected Ending Funds Available	**$ 2,572,357**	**$ -**				**$ -**	

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

TOWN OF CASTLE ROCK **Schedule R**
2016 Third Quarter Financial Review
Fund: Conservation Trust Fund
Department: Parks and Recreation

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	144,256	110,000	76,563	78,826	(2,263)	130,000	20,000
Intergovernmental	1,245,921	1,113,363	1,031,966	970,022	61,944	1,166,966	53,603
Charges for Service	-	-	-	-	-	-	-
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	3,875	1,096	901	822	79	1,238	142
Impact Fees	-	-	-	-	-	-	-
Contributions & Donations	-	-	-	-	-	-	-
Transfers In	-	-	-	-	-	-	-
Interfund Loan Revenue	-	-	-	-	-	-	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	7	-	-	-	-	-	-
Total Revenues	**$ 1,394,059**	**$ 1,224,459**	**$ 1,109,430**	**$ 1,049,670**	**$ 59,760**	**$ 1,298,204**	**$ 73,745**
Expenditures							
Personnel	$ -	$ 75,431	$ 37,404	$ 58,024	$ 20,620	$ 56,155	$ 19,276
Services & Other	103,257	-	43,799	-	(43,799)	27,298	(27,298)
Supplies	22,229	-	1,404	-	(1,404)	1,387	(1,387)
Capital	182,231	370,500	147,968	277,875	129,907	346,168	24,332
Debt & Financing	-	-	-	-	-	-	-
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	2,036,000	950,000	-	-	-	950,000	-
Total Expenditures	**$ 2,343,717**	**$ 1,395,931**	**$ 230,575**	**$ 335,899**	**$ 105,324**	**$ 1,381,008**	**$ 14,923**
Net Revenues/Expenditures	**(949,658)**	**(171,472)**	**878,855**	**713,771**	**165,084**	**(82,804)**	**88,668**
Beginning Funds Available	**1,124,314**	**174,656**	**174,656**	**174,656**		**174,656**	
Ending Funds Available	**$ 174,656**	**$ 3,184**	**$ 1,053,511**	**$ 888,427**		**$ 91,852**	
Less Reserves & Designations:							
Committed for Fund Purpose		3,184				91,852	
Projected Ending Funds Available	**$ 174,656**	**$ -**				**$ -**	

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

TOWN OF CASTLE ROCK **Schedule S**
2016 Third Quarter Financial Review
Fund: Philip S. Miller Trust Fund
Department: Town Council

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget	
Revenues								
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -	
Franchise Fees	-	-	-	-	-	-	-	
Licenses & Permits	-	-	-	-	-	-	-	
Intergovernmental	-	-	-	-	-	-	-	
Charges for Service	-	-	-	-	-	-	-	
Management Fees	-	-	-	-	-	-	-	
Fines & Forfeitures	-	-	-	-	-	-	-	
Investment Earnings	239	1,145	417	859	(442)	483	(662)	
Impact Fees	-	-	-	-	-	-	-	
Contributions & Donations	285,000	285,000	137,500	142,500	(5,000)	275,000	(10,000)	
Transfers In	-	-	-	-	-	-	-	
Interfund Loan Revenue	-	-	-	-	-	-	-	
Debt & Financing Revenue	-	-	-	-	-	-	-	
Other Revenue	234,175	29,141	-	-	-	-	(29,141)	
Total Revenues	**$ 519,414**	**$ 315,286**	**$ 137,917**	**$ 143,359**	**$ (5,442)**	**$ 275,483**	**$ (39,803)**	(1)
Expenditures								
Personnel	$ -	$ 41,995	$ 17,833	$ 32,304	$ 14,471	$ 25,456	$ 16,539	
Services & Other	219,185	287,550	175,750	215,663	39,913	256,000	31,550	
Supplies	-	-	1,170	-	(1,170)	1,170	(1,170)	
Capital	-	-	-	-	-	-	-	
Debt & Financing	-	-	-	-	-	-	-	
Interfund Loan	-	-	-	-	-	-	-	
Transfers Out	-	200,000	200,000	200,000	-	200,000	-	
Total Expenditures	**$ 219,185**	**$ 529,545**	**$ 394,753**	**$ 447,967**	**$ 53,214**	**$ 482,626**	**$ 46,919**	
Net Revenues/Expenditures	**300,229**	**(214,259)**	**(256,836)**	**(304,608)**	**47,772**	**(207,143)**	**7,116**	
Beginning Funds Available	**124,099**	**424,328**	**424,328**	**424,328**		**424,328**		
Ending Funds Available	**$ 424,328**	**$ 210,069**	**$ 167,492**	**$ 119,720**		**$ 217,185**		
Less Reserves & Designations:								
Committed for Fund Purpose		210,069				217,185		
Projected Ending Funds Available	**$ 424,328**	**$ -**				**$ -**		

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

(1) Revenue will be under budget because the annual distribution from the Philip S. Miller Trust will be $10k less than budget and there will be no Rink at the Rock payment as it was received in full in 2016

TOWN OF CASTLE ROCK **Schedule T**
2016 Third Quarter Financial Review
Fund: Public Art Fund
Department: Town Council

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Charges for Service	-	-	-	-	-	-	-
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	201	159	349	119	230	408	249
Impact Fees	-	-	-	-	-	-	-
Contributions & Donations	25,000	25,000	12,500	12,500	-	25,000	-
Transfers In	-	-	-	-	-	-	-
Interfund Loan Revenue	-	-	-	-	-	-	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	3	-	-	-	-	-	-
Total Revenues	**$ 25,204**	**$ 25,159**	**$ 12,849**	**$ 12,619**	**$ 230**	**$ 25,408**	**$ 249**
Expenditures							
Personnel	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Services & Other	7,049	25,000	4,359	18,750	14,391	25,000	-
Supplies	373	-	-	-	-	-	-
Capital	56,750	-	-	-	-	-	-
Debt & Financing	-	-	-	-	-	-	-
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	-	-	-	-	-	-	-
Total Expenditures	**$ 64,172**	**$ 25,000**	**$ 4,359**	**$ 18,750**	**$ 14,391**	**$ 25,000**	**$ -**
Net Revenues/Expenditures	**(38,968)**	**159**	**8,490**	**(6,131)**	**14,621**	**408**	**249**
Beginning Funds Available	**79,099**	**40,131**	**40,131**	**40,131**		**40,131**	
Ending Funds Available	**$ 40,131**	**$ 40,290**	**$ 48,621**	**$ 34,000**		**$ 40,539**	
Less Reserves & Designations:							
Committed for Fund Purpose		40,290				40,539	
Projected Ending Funds Available	**$ 40,131**	**$ -**				**$ -**	

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

TOWN OF CASTLE ROCK **Schedule U**
2016 Third Quarter Financial Review
Fund: Economic Development Fund
Department: Town Manager

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget	
Revenues								
Taxes	$ 688,397	$ 586,029	$ 1,337,912	$ 439,522	$ 898,390	$ 1,746,163	$ 1,160,134	(1)
Franchise Fees	-	-	-	-	-	-	-	
Licenses & Permits	-	-	-	-	-	-	-	
Intergovernmental	-	-	-	-	-	-	-	
Charges for Service	-	-	-	-	-	-	-	
Management Fees	-	-	-	-	-	-	-	
Fines & Forfeitures	-	-	-	-	-	-	-	
Investment Earnings	28,633	10,670	39,672	8,003	31,669	41,391	30,721	
Impact Fees	-	-	-	-	-	-	-	
Contributions & Donations	-	-	-	-	-	-	-	
Transfers In	-	-	-	-	-	-	-	
Interfund Loan Revenue	-	-	-	-	-	-	-	
Debt & Financing Revenue	-	-	-	-	-	-	-	
Other Revenue	180,002	-	-	-	-	-	-	
Total Revenues	**$ 897,032**	**$ 596,699**	**$ 1,377,584**	**$ 447,525**	**$ 930,059**	**$ 1,787,554**	**$ 1,190,855**	
Expenditures								
Personnel	$ -	$ -	$ -	$ -	$ -	$ -	$ -	
Services & Other	922,401	810,397	26,429	607,798	581,369	770,397	40,000	
Supplies	-	-	-	-	-	-	-	
Capital	-	-	-	-	-	-	-	
Debt & Financing	-	-	-	-	-	-	-	
Interfund Loan	-	-	-	-	-	-	-	
Transfers Out	-	-	-	-	-	-	-	
Total Expenditures	**$ 922,401**	**$ 810,397**	**$ 26,429**	**$ 607,798**	**$ 581,369**	**$ 770,397**	**$ 40,000**	
Net Revenues/Expenditures	**(25,369)**	**(213,698)**	**1,351,155**	**(160,273)**	**1,511,428**	**1,017,157**	**1,230,855**	
Beginning Funds Available	**2,695,860**	**2,670,491**	**2,670,491**	**2,670,491**		**2,670,491**		
Ending Funds Available	**$ 2,670,491**	**$ 2,456,793**	**$ 4,021,646**	**$ 2,510,218**		**$ 3,687,648**		
Less Reserves & Designations:								
Committed for Fund Purpose		2,456,793				3,687,648		
Projected Ending Funds Available	**$ 2,670,491**	**$ -**				**$ -**		

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

(1) Revenue estimates include significant commercial development, including infrastructure, that has occured in 2016. A total of 66 commercial building permits were issued through September, compared with 29 for the same period in 2015

TOWN OF CASTLE ROCK
2016 Third Quarter Financial Review
Fund: Police Forfeiture Fund
Department: Police Department

Schedule V

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	407	5,000	-	3,750	(3,750)	-	(5,000)
Charges for Service	-	-	-	-	-	-	-
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	25	27	67	20	47	87	60
Impact Fees	-	-	-	-	-	-	-
Contributions & Donations	-	-	-	-	-	-	-
Transfers In	-	-	-	-	-	-	-
Interfund Loan Revenue	-	-	-	-	-	-	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	-	-	-	-	-	-	-
Total Revenues	**$ 432**	**$ 5,027**	**$ 67**	**$ 3,770**	**$ (3,703)**	**$ 87**	**$ (4,940)** (1)
Expenditures							
Personnel	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Services & Other	-	-	-	-	-	-	-
Supplies	-	-	-	-	-	23,828	(23,828)
Capital	-	23,828	-	-	-	-	23,828
Debt & Financing	-	-	-	-	-	-	-
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	-	-	-	-	-	-	-
Total Expenditures	**$ -**	**$ 23,828**	**$ -**	**$ -**	**$ -**	**$ 23,828**	**$ -**
Net Revenues/Expenditures	**432**	**(18,801)**	**67**	**3,770**	**(3,703)**	**(23,741)**	(4,940)
Beginning Funds Available	23,821	**24,253**	**24,253**	**24,253**		**24,253**	
Ending Funds Available	**$ 24,253**	**$ 5,452**	**$ 24,320**	**$ 28,023**		**$ 512**	
Less Reserves & Designations:							
Committed for Fund Purpose		5,452				512	
Projected Ending Funds Available	**$ 24,253**	**$ -**				**$ -**	

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

(1) Receipt of forfeiture revenue varies and is unpredictable in nature. At this time, no forfeiture revenues are anticipated to be received in 2016

TOWN OF CASTLE ROCK **Schedule W**

2016 Third Quarter Financial Review

Fund: Downtown Development TIF Fund

Department: Town Manager

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget	
Revenues								
Taxes	$ 1,186,160	$ 1,230,802	$ 786,889	$ 923,102	$ (136,213)	$ 1,160,336	$ (70,466)	(1)
Franchise Fees	-	-	-	-	-	-	-	
Licenses & Permits	-	-	-	-	-	-	-	
Intergovernmental	-	-	-	-	-	-	-	
Charges for Service	-	-	-	-	-	-	-	
Management Fees	-	-	-	-	-	-	-	
Fines & Forfeitures	-	-	-	-	-	-	-	
Investment Earnings	-	989	-	742	(742)	-	(989)	
Impact Fees	-	-	-	-	-	-	-	
Contributions & Donations	-	-	-	-	-	-	-	
Transfers In	-	-	-	-	-	-	-	
Interfund Loan Revenue	-	-	-	-	-	-	-	
Debt & Financing Revenue	-	900,000	-	-	-	900,000	-	
Other Revenue	-	2,509,000	-	-	-	2,501,500	(7,500)	
Total Revenues	**$ 1,186,160**	**$ 4,640,791**	**$ 786,889**	**$ 923,844**	**$ (136,955)**	**$ 4,561,836**	**$ (78,955)**	
Expenditures								
Personnel	$ -	$ -	$ -	$ -	$ -	$ -	$ -	
Services & Other	40,014	-	9,924	-	(9,924)	40,234	(40,234)	
Supplies	-	-	2,250	-	(2,250)	2,250	(2,250)	
Capital	181,600	1,019,981	-	116,394	116,394	864,790	155,191	
Debt & Financing	736	1,120,810	248	249	1	1,154,562	(33,752)	
Interfund Loan	-	-	-	-	-	-	-	
Transfers Out	-	2,500,000	-	-	-	2,500,000	-	
Total Expenditures	**$ 222,350**	**$ 4,640,791**	**$ 12,422**	**$ 116,642**	**$ 104,220**	**$ 4,561,836**	**$ 78,955**	
Net Revenues/Expenditures	**963,810**	**-**	**774,467**	**807,202**	**(32,735)**	**-**	-	
Beginning Funds Available	**-**	**963,810**	**963,810**	**963,810**		**963,810**		
Ending Funds Available	**$ 963,810**	**$ 963,810**	**$ 1,738,277**	**$ 1,771,012**		**$ 963,810**		
Less Reserves & Designations:								
Debt Service Reserve		359,446				359,446		
Projected Ending Funds Available	**$ 963,810**	**$ 604,364**				**$ 604,364**		

* The 2016 YTD Budget is adjusted to more accurately reflect the seasonal nature of the year to date budget information

(1) Sales tax collections in the downtown area are trending under what was anticipated for 2016

TOWN OF CASTLE ROCK **Schedule X**
2016 Third Quarter Financial Review
Fund: Special Events Fund
Department: Deputy Town Manager

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget*	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ -	$ -	$ -	$ -	$ -	$ -	$ -
Franchise Fees	-	-	-	-	-	-	-
Licenses & Permits	-	-	-	-	-	-	-
Intergovernmental	-	-	-	-	-	-	-
Charges for Service	-	247,250	113,246	237,563	(124,317)	134,169	(113,081)
Management Fees	-	-	-	-	-	-	-
Fines & Forfeitures	-	-	-	-	-	-	-
Investment Earnings	-	-	-	-	-	-	-
Impact Fees	-	-	-	-	-	-	-
Contributions & Donations	-	-	-	-	-	-	-
Transfers In	-	200,000	200,000	200,000	-	200,000	-
Interfund Loan Revenue	-	-	-	-	-	-	-
Debt & Financing Revenue	-	-	-	-	-	-	-
Other Revenue	-	-	-	-	-	-	-
Total Revenues	**$ -**	**$ 447,250**	**$ 313,246**	**$ 437,563**	**$ (124,317)**	**$ 334,169**	**$ (113,081)** (1)
Expenditures							
Personnel	$ -	$ -	$ 9,167	$ -	$ (9,167)	$ 10,095	$ (10,095)
Services & Other	-	137,300	163,882	180,732	16,850	176,197	(38,897)
Supplies	-	62,700	10,418	9,978	(440)	13,708	48,992
Capital	-	-	-	-	-	-	-
Debt & Financing	-	-	-	-	-	-	-
Interfund Loan	-	-	-	-	-	-	-
Transfers Out	-	-	-	-	-	-	-
Total Expenditures	**$ -**	**$ 200,000**	**$ 183,467**	**$ 190,710**	**$ 7,243**	**$ 200,000**	**$ -**
Net Revenues/Expenditures	**-**	**247,250**	**129,779**	**246,853**	**(117,074)**	**134,169**	**(113,081)**
Beginning Funds Available	**-**	**-**	**-**	**-**		**-**	
Ending Funds Available	**$ -**	**$ 247,250**	**$ 129,779**	**$ 246,853**		**$ 134,169**	
Less Reserves & Designations:							
Committed for Fund Purpose		247,250				134,169	
Projected Ending Funds Available	**$ -**	**$ -**				**$ -**	

* The 2016 YTD Budget has been adjusted to more accurately reflect the seasonal nature of the year to date budget information

(1) Revenue is expected to be under budget for the year based on actual revenue earned during the summer concert series. Despite being under budget, more than 2,400 tickets were sold during the first year of the Celebration Concert Series.

Note: The Special Events Fund was established and approved by a budget amendment on February 2, 2016. This fund accommodates revenues and expenditures for 20 Townwide Events that were approved by Town Council as part of the Special Events Strategic Plan on January 5, 2016

TOWN OF CASTLE ROCK **Schedule Y**

2016 Third Quarter Financial Review

Townwide Summary

Category	2015 Audited Actual	2016 Amended Budget	September 30, 2016 Actual	2016 YTD Budget	2016 YTD Variance Actual to Budget	2016 Department Year End Estimates^	2016 Annual Variance Estimate to Budget
Revenues							
Taxes	$ 53,139,059	$ 54,325,225	$ 44,556,312	$ 39,838,006	$ 4,718,307	$ 58,443,794	$ 4,118,569
Franchise Fees	2,164,096	2,361,239	1,170,757	1,292,256	(121,499)	2,293,554	(67,685)
Licenses & Permits	3,472,872	4,227,342	3,492,110	3,388,308	103,802	4,394,459	167,117
Intergovernmental	4,926,558	4,571,628	4,036,621	3,563,721	472,900	4,989,400	417,772
Charges for Service	49,855,375	53,630,309	40,456,566	41,120,266	(663,700)	53,878,551	248,242
Management Fees	2,770,586	2,886,561	2,164,921	2,164,921	-	2,886,561	-
Fines & Forfeitures	1,206,515	1,240,362	671,827	930,272	(258,445)	933,499	(306,863)
Investment Earnings	778,168	623,503	1,133,894	467,629	666,265	1,207,167	583,664
Impact Fees	5,691,343	6,977,887	6,323,056	5,616,501	706,555	7,544,548	566,661
System Development Fees	20,984,485	15,728,735	15,081,146	12,660,060	2,421,086	18,289,205	2,560,470
Contributions & Donations	4,912,681	5,611,066	1,222,125	1,303,305	(81,180)	2,462,441	(3,148,625)
Transfers In	12,916,348	9,434,626	1,107,943	1,422,322	(314,379)	9,434,626	-
Interfund Loan Revenue	13,830,862	1,897,241	107,740	207,741	(100,001)	1,889,678	(7,563)
Debt & Financing Revenue	12,002,315	70,362,307	69,346,206	69,426,760	(80,554)	70,359,009	(3,298)
Other Revenue	1,639,597	3,146,293	823,609	456,115	367,494	3,560,593	414,300
Total Revenues	**$ 190,290,861**	**$ 237,024,324**	**$ 191,694,833**	**$ 183,858,182**	**$ 7,836,651**	**$ 242,567,085**	**$ 5,542,761**
Expenditures							
Personnel	$ 44,513,943	$ 50,124,902	$ 36,489,864	$ 38,480,671	$ 1,990,807	$ 48,475,520	$ 1,649,382
Services & Other	39,656,077	44,068,496	29,450,388	33,762,928	4,312,540	43,553,615	514,881
Supplies	5,489,869	5,941,903	4,042,260	4,409,056	366,796	5,720,869	221,034
Capital	46,478,388	140,442,257	21,881,427	87,825,781	65,944,354	118,541,746	21,900,511
Debt & Financing	19,608,727	86,134,497	77,831,722	79,102,519	1,270,797	86,857,311	(722,814)
Interfund Loan	13,830,862	1,889,995	107,741	107,976	235	1,889,682	313
Transfers Out	15,236,330	11,361,347	2,994,232	3,308,610	314,378	11,415,666	(54,319)
Total Expenditures	**$ 184,814,196**	**$ 339,963,397**	**$ 172,797,634**	**$ 246,997,539**	**$ 74,199,906**	**$ 316,454,409**	**$ 23,508,988**
Net Revenues/Expenditures	**5,476,665**	**(102,939,073)**	**18,897,199**	**(63,139,357)**	**82,036,557**	**(73,887,324)**	**29,051,749**
Beginning Funds Available	**195,941,357**	**201,418,022**	**201,418,022**	**201,418,022**		**201,418,022**	
Ending Funds Available	**201,418,022**	**98,478,949**	**220,315,221**	**138,278,665**		**127,530,698**	
Less Reserves & Designations:							
Contractual Reserve		300,000				300,000	
Revenue Stabilization Reserve		3,732,510				4,901,094	
Catastrophic Events Reserve		7,875,310				7,875,310	
Capital Reserve		49,561,210				66,066,225	
Opportunity/Economic Development Reserve		1,000,000				1,000,000	
Operational Capacity Reserve		1,000,000				1,000,000	
TABOR Reserve		1,672,908				1,672,908	
Committed for Fund Purpose		16,814,929				24,090,343	
Operating Designation		3,263,258				3,263,258	
Rate Stabilization Reserve		1,590,000				1,590,000	
Debt Service Reserve		860,261				860,261	
Claims Reserve		1,438,226				1,438,226	
Health Care Cost Reserve		801,150				801,150	
Total Reserves & Designations		**89,909,762**				**114,858,775**	
Unobligated Reserves	**$ 201,418,022**	**$ 8,569,187**				**$ 12,671,923**	

* The 2016 YTD Budget has been adjusted to more accurately reflect the seasonal nature of the year to date budget information